Name: CUSTOMER DECLARATION - ENTITY ID: DMS-201805-Branch Items-FATCA Reference Material-101178 Version: 1.2.0.0 Effective Date:02-14-2019 12:00:00



CUSTOMER DECLARATION

First C	itizens	Ent	tity						
			Date:						
IDENTI	FICATION INFORMATION OF FRITITY								
CIF#:	FICATION INFORMATION OF ENTITY								
	ner Name:								
Custon	ner Name.								
Identif	ication: VAT#:	Co.	Reg#:	BIR#:					
Legal A	Address:		Mailing Address (if differen	nt from Legal):					
_			_						
Date of Incorporation:			Power of Attorney (if applicable):						
Countr	y of Incorporation or Organization:								
	,								
Contac	t#: (Bus):	(Fax	<u> </u> 						
	()	•							
Is Entit	y resident for tax purposes of any cour	ntry other	than that listed above?	Yes No					
If Voc	please list each country and applicable	Tay Ident	ification number and indi	cate whether Entity has any					
	les) of Operation in the countries lister		incation number, and mar	cate whether Littly has any					
			N 1 16 11 1						
	Country		Number. If unavailable, ase state reason A,B,C	Branch of Operation					
	Country	-	xplanation where necessary)						
•		,	,						
-									
-									
Reason	A- The country/ jurisdiction where Account	Holder is li	able to nay tay does not issue	Tay ID to its residents					
	B - The Account Holder is otherwise unable								
	C- No Tax ID is required (note: only select the								
	do not require the Tax ID to be disclosed).							
CLASSI	FICATION OF ENTITY								
<u>Financi</u>	ial Institutions (FI) : Please select the ap								
	Reporting Financial Institution ¹ – (Plea	se provide G		IN#					
	Participating Financial Institution ¹		GIIN#						
	Sponsored Financial Institution ¹			IN#					
	Please provide your GIIN. If you do not have on provide your Sponsor's name and your Sponsor		Sponsor Name:						
	provide your sponsor's name and your spons	301 3 0 111 1	Sponsor GIIN#						
	If unable to provide a GIIN, please sel	ect the rea	ason why your organizatior	n does not have a GIIN:					
	It is a FI in a Model 1 IGA country								
	• It is a Non-Reporting Financial Institution under a Model 1 or Model 2 IGA ¹								
	• It is a FI that intends to apply for a GIIN but has not yet applied or has not yet received it								
	It is an Exempt Beneficial Owner ¹								
	It is a Certified Deemed Complian This a Registered New Profit Comp		I Institution ¹						
	 It is a Registered Non-Profit Orga It is an Owner-documented FFI¹ 	เมริสเเป็ก		not yet received it					
	 It is all Owner-documented FFI It is a Territory Financial Institution 	nn ¹							
	 It is a Non-Participating Foreign F 		nstitution ¹						
All Oth	<u>ler Entities</u> : Please select the appropriat	te classific	ation below:						
	Active NFE ¹ Passive NFE ¹								
	 Passive NFE¹ Direct Reporting NFE¹ 	ovida CIII	N# (if applicable):						
			2111111 211161.						

• Excepted NFE¹

Name: CUSTOMER DECLARATION - ENTITY ID: DMS-201805

Version: 1.2.0.0

Qualified Intermedian	y ¹:		QI#			
Please provide your Q	Number in addit	ion to selecting th	e appropriate classifica	ation above.		
¹ Definitions provided on nex	at page.					
SUMMARY OF CONTR	OLLING DEPSONS	THAT ARE REDO	OTARI E			
			form to include any addition	al reportable persons n	ot included	
below.					T	
Full Name	% Ownership	Tax ID Type	Country of Birth	Nationality	Date of	
· an rame	Ownership	and Number	country or birth	reactionality	Birth	
NCOME EARNINGS			EXEMPTION			
Declared: Yes	□ No		Is Entity considered an 'Exempt Beneficial Owner'			
_ : : :	_		under a statutory authority or tax treaty with			
If Yes , does Entity de		_	respect to specified types of income?			
earnings from passive						
the Entity's weighted		ge of assets held	☐ Yes ☐ No			
for the production of particles of Note: Passive income include the Note: Passive income include the Note of the N		rant and ravalties	Supporting documents provided			
annuities, and gains from th	· · · · · · · · · · · · · · · · · · ·	•		Supporting accamer	no provided	
☐ Ves	IINO					
☐ Yes	□ No					
	No					
DECLARATION	No		, does hereby solemn	ly and sincerely decla	are as follows:	
DECLARATION	No		, does hereby solemn	ly and sincerely decla	are as follows:	
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CSR Signature: ______ Date: _____

Authorized Signature: ______ Date: _____

Name: CUSTOMER DECLARATION - ENTITY

ID: DMS-201805-Branch Items-FATCA Reference Material-101178

Version: 1.2.0.0 Effective Date:02-14-2019 12:00:00

Entity Classification Definitions

- (a) **Reporting Financial Institution**: a financial institution (FI) under Model 1 or Model 2 IGA that is in effect. This also includes foreign branches of USFI that are treated as a reporting FI under the terms of a Model 1 IGA.
- (b) Participating Financial Institution: a financial institution that has agreed to enter into a foreign financial institution agreement; a reporting financial institution under a Model 2 IGA; a foreign branch of a USFI that has a Qualified Intermediary Agreement in effect.
- (c) **Sponsored Financial Institution**: an investment entity, financial institution (controlled by a foreign entity) or a direct reporting non-financial entity that has another entity that will perform the due diligence, withholding and reporting obligations on its behalf.
- (d) Non-Reporting Financial Institution: An entity that is established in a jurisdiction that has in effect a Model 1 or 2 IGA and that is treated as a non-reporting FI in Annex II of the applicable Model 1 or 2 IGA or that is otherwise treated as a deemed-compliant FI or an exempt beneficial owner.
- (e) **Exempt Beneficial Owner:** a government, a political subdivision of a foreign government, a wholly owned agency or instrumentality of any one or more of same; an international organization or a wholly owned agency or instrumentality thereof; a central bank of issue; a government of a U.S. Territory; a treaty-qualified retirement fund; a broad participation retirement fund; a narrow participation retirement fund; an investment vehicle used exclusively for retirement funds; a pension fund of an exempt beneficial owner; an entity wholly owned by an exempt beneficial owner.
- (f) **Certified Deemed Compliant Financial Institution:** an entity not required to register with the IRS as it has certified its deemed compliant status by providing the relevant documentation to a withholding agent.
- (g) Owner Documented FFI (Owner Documented Foreign Financial Institution): an investment entity that is not owned by or affiliated with an entity that is a depository or custodial institution or a specified insurance company and does not maintain the financial accounts of any non-participating financial institution and has or will provide all the information on its owners to a withholding agent.
- (h) Territory Financial Institution: A US territory incorporated financial institution (other than an investment entity).
- (i) Non-Participating Foreign Financial Institution: A financial institution that has not agreed to comply with the due diligence requirements of FATCA and has not registered with the IRS to obtain a GIIN.
- (j) Active NFE (Active Non-Financial Entity): A non-financial entity that derives less than 50% of its gross income from passive income (i.e. dividends, interest, annuities, etc.).
- (k) Passive NFE (Passive Non-Financial Entity): A non-financial entity that derives 50% or more of its gross income from passive income (i.e. dividends, interest, annuities, etc.)
- (I) Direct Reporting NFE (Direct Reporting Non-Financial Entity): a non-financial entity that has elected to report its US owners directly to the IRD and was assigned a GIIN.
- (m) Excepted NFE (Excepted Non-Financial Entity): any one of the following entities:
 - A publicly traded entity
 - An International Organisation
 - A holding company that is a part of a non-financial group
 - A financial company of a non-financial group
 - A charity or non-profit organisation

- A related entity of a publicly traded entity
- A Governmental Entity or Central Bank
- An active business
- A liquidating company
- A start-up company
- (n) Qualified Intermediary: A person described in Treas. Reg. §1.1441-1(e)(5)(ii) that has entered into a qualified intermediary agreement with the IRS.

If the country in which your organization is resident has signed an Intergovernmental Agreement ('IGA') with the United States or any other foreign government, please refer to the equivalent definitions in the relevant IGA.